

#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Flenley et al

Serial No:

Filed: 12/10/98

Title: A FILTER MODULE FOR A TRANSACTION PROCESSING SYSTEM

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gregory M. Doudnikoff".

Gregory M. Doudnikoff
Attorney for Applicant
Registration No. 32,847
IBM Corp, IP Law Dept T81/B062,
3039 Cornwallis Road,
PO Box 12195,
Research Triangle Park, NC 27709-2195.
Telephone: 919-254-1288
Fax: 919-254-4330